

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO				Application Number	10/709,801		
	ATION DISC			Filing Date	May 28, 2004		
STATEMENT BY APPLICANT			NT	First Named Inventor	Caroline Desponts		
(u	se as many sheet	ts as nece	essary)	Art Unit	1632 /635		
				Examiner Name			
Sheet	1	of	6	Attorney Docket Number	USF-212XZ1T		

			U.S. PATENT DO	OCUMENTS	
Examiner Initials*	Cite No. 1	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Q2	U1	US-10/605,452	09-30-2003	Kerr et al.	All
03	U2	US-10/904,667	11-22-2004	Kerr et al.	All
	U3	US-2002/0137711 A1	09-26-2002	Kerr	All
	U4	US-2002/0165192 A1	11-07-2002	Kerr et al.	All
	U5	US-4.603.112	07-29-1986	Paoletti et al.	All
	U6	US-4,769,330	09-06-1988	Paoletti et al.	All
	U7	US-4,777,127	10-11-1988	Suni et al.	All
	U8	US-5,017,487	05-21-1991	Stunnenberg et al.	All
J	U9	US-5.166,057	11-24-1992	Palese et al.	All

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		Foreign Patent Document	Publication Date	Name of Patentee or	Pagas, Columns, Linas,	1
Examiner Initials*	Cite No. 1	Country Code 3 - Number 4 - Kind Code 5 (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
$Q_2$	F1	WO 89/01973 A2	03-09-1989	Applied Biotech. Inc.	All	
00	F2	WO 91/02805 A2	03-07-1991	Viagene, Inc.	All	ļ
1,	F3	WO 92/06693 A1	04-30-1992	Fox Chase Cancer Ctr.	All	<u> </u>
	F4	WO 97/10252 A1	03-20-1997	Fred Hutchinson Cancer Research	All	Ŀ
	F5	WO 97/12039 A2	04-03-1997	Krystai	All	<b> </b>
<b>─</b>	F6	EP 0 345 242 A2	12-06-1989	Smithkline Biologicals	All	<u> </u>

Date Examiner 10-07 Considered Signature

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					Application Number	10/709,801	
INFORMATION DISCLOSURE					Filing Date	May 28, 2004	
S	STATEMENT BY APPLICANT				First Named Inventor	Caroline Desponts	
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72	. U10	US-6,090,621	07-18-2000	Kavanaugh et al.	All
00	U11	US-			
	U12	US-			
	U13	US-			
	U14	US-			
	U15	US-			
	U16	US-			
	U17	US-			
	U18	US-			

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First Named Inventor	Caroline Desponts	
Group Art Unit	1632 /6 35	
Examiner Name		
Attorney Docket Number	USF-212XZ1T	_

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
7-3	R1	AGRAWAL, S. "Antisense oligonucleotides: towards clinical trials" TIBTECH, 1996, 14:376-387.	
1	R2	AGRAWAL, S. and KANDIMALLA, E. "Antisense therapeutics: is it as simple as complementary base recognition?" <i>Molecular Med. Today</i> , 2000, 6:72-81.	
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**Group Art Unit** 

Attorney Docket Number

10/709,801 Application Number May 28, 2004 Filing Date Caroline Desponts First Named Inventor 1632 1635 **Examiner Name** 

USF-212XZ1T

NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue T<sup>2</sup> Examiner number(s), publisher, city and/or country where published. Initials\* No. GHANSAH, T. et al. "A role for the SH2-containing inositol phosphatase in the biology of natural killer cells and stem cells" Activating and Inhibitory Immunoglobulin-like Receptors, 2001, pp. 129-140. **R14** GHANSAH, T. et al. 'Target disruption of Src homology 2-containing 5' inositol phosphatase (SHIP) alters PI3K/AKT and MAPK signal transduction pathways in murine natural killer cells" FASEB Journal, March 20, 2002, 16(4):A706, abstract. **R15** GHANSAH, T. et al. "The Src homology 2 containing inositol phosphatase is vital for the function and homeostatis of Natural Killer cells" FASEB Journal, March 7, 2001, 15(4):A655, abstract. **R16** GUZMAN, R.J. et al. "Molecular and cellular cardiology/receptors: efficient and selective adenovirus-mediated gene transfer into vascular neointima" Circulation, 1993, 88(6):2838-2848. **R17** HAWKINS, P.T. et al. "Platelet-derived growth factor stimulates synthesis of PtdIns(3,4,5)P3 by activating a PtdIns(4.5)P, 3-OH kinase" Nature, 1992, 358:157-910. **R18** HELD, W. et al. "Transgenic expression of the Ly49A natural killer cell receptor confers class I major histocompatibility complex (MHC)-specific inhibition and prevents bone marrow allograft rejection" J. Exp. Med., 1996, 184(5):2037-2041. **R19** HELGASON, C.D. et al. "Targeted disruption of SHIP leads to hemopoietic perturbations, lung pathology, and a shortened life span" Genes & Dev., 1998, 12(11):1610-1620. R20 HUBER, M. et al. The src homology 2-containing inositol phosphatase (SHIP) is the gatekeeper of mast cell degranulation" Proc. Natl. Acad. Sci. USA, 1998, 95(19):11330-11335. **R21** JEFFERSON, A.B. et al. "Properties of type II inositol polyphosphate 5-phosphatase" J. Biol. Chem., 1995, 270(16):9370-9377. **R22** JEN, K-Y and GEWIRTZ, A.M. "Suppression of gene expression by targeted disruption of messenger RNA: Available options and current strategies" Stem Cells, 2000, 18:307-319. **R23** JOLLY, D. et al. "Viral vector systems for gene therapy" Cancer Gene Therapy, 1998, 1(1):51-64. **R24** KASS-EISLER, A. et al. "Quantitative determination of adenovirus-mediated gene delivery to rat cardiac myocytes in vitro and in vivo" PNAS, 1993, 90:11498-11502. **R25** KERR, WILLIAM G. et al., Critical Role for SHIP in engraftment of histo-incompatible stem cells, Oncology

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## Substitute for form 1449B/PTO **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

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Sheet

**Application Number** 10/709,801 **Filing Date** May 28, 2004 Caroline Desponts First Named Inventor **Group Art Unit Examiner Name Attorney Docket Number** USF-212XZ1T

			NON PATENT LITERATURE DOCUMENTS	
Exar Initia	niner Is*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposlum, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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Date Examiner Signature Considered

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··· <del>·</del>		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. 1	include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ²
$Q_{\lambda}$	R40	POZNANSKY, M. et al. "Gene transfer into human lymphocytes by a defective human immunodeficiency virus type 1 vector" J. Virol., 1991, 65:532-536.	
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				Examiner Name	Jane J. Zara	
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2	R1	KERR, W.G. et al. "The SH2 Containing Inositol Phosphatase (SHIP) is a Crucial Regulator of NK Cell Repertoire and Function" Abstract #34, presented at Core Research for Evolutional Science and Technology (CREST) International Symposium on Immunoglobulin-like Receptors, held September 19-20, 2000, at the Sendai International Center, Sendai City, Japan.				
0 10	/R2	Statement of Dr. Toshiyuki Takai, an organizer of the CREST International Symposium on Immunoglobulin-like Receptors, held September 19-20, 2000.				
1	R3	Program and Abstracts for CREST International Symposium on Immunoglobulin-like Receptors, held September 19-20, 2000.				
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